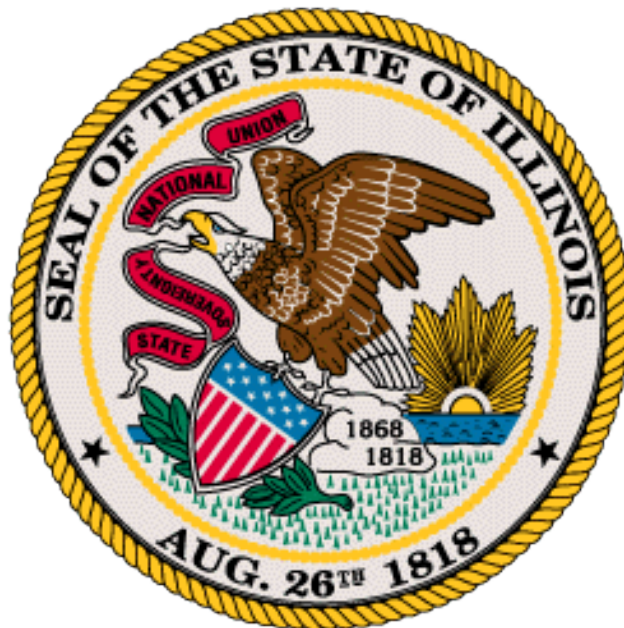


Illinois Commerce Commission



Annual Report

Transportation Regulatory Fund Fiscal Year 2010

*Printed by Authority of the State of Illinois
55c. - September 2010 - #672*

STATE OF ILLINOIS



ILLINOIS COMMERCE COMMISSION

September 22, 2010

The Honorable Pat Quinn
Governor
207 State House
Springfield, Illinois

Dear Governor Quinn:

In compliance with Section 18c-1604 of the Illinois Commercial Transportation Law (625 ILCS 5/18c-1604), the Illinois Commerce Commission is submitting an annual report of expenditures from the Transportation Regulatory Fund.

This report contains information pertaining to all monies deposited in the Transportation Regulatory Fund, all expenditures from the Transportation Regulatory Fund, a listing of and description by function of all staff positions and the methods used to allocate expenses between the Transportation Regulatory Fund and other funds.

Sincerely,

A handwritten signature in black ink, appearing to read "Manuel Flores", with a small number "2" written below the end of the signature.

Manuel Flores
Acting Chairman

cc: State Library

Illinois Commerce Commission
Transportation Regulatory Fund

Annual Report for Expenditures

For Fiscal Year 2010

Section 18c-1604 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1604, requires the Illinois Commerce Commission to submit to the Governor and the General Assembly an annual report of expenditures from the Transportation Regulatory Fund. Section 18c-1604 states as follows:

“Annual Report of Expenditures. The Commission shall, within 60 calendar days after the end of the lapse period for each fiscal year, submit to the Governor and the General Assembly a report of the following for such fiscal year:

- (1) All monies deposited in the Transportation Regulatory Fund, showing the total and subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (2) All expenditures from the Transportation Regulatory Fund, showing the total and the subtotals by class as defined in subsection (2) of Section 18c-1601 of this Chapter;
- (3) A listing and description by function of all staff positions actually funded, in whole or in part, at any time during the fiscal year, from the Transportation Regulatory Fund; and
- (4) The methods used to allocate expenses between the Transportation Regulatory Fund and other funds, and between classes within the Transportation Regulatory Fund.”

Subsection (2) of Section 18c-1601 of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1601, requires that the Commission “account separately for monies from the following classes:

- (a) motor carriers of property (other than carriers engaged in non-relocation towing);
- (b) rail carriers; and
- (c) other monies.”

This report is provided in accordance with the above stated requirement.

625 ILCS 5/18c
Section 1604
Requirement (1)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2010 Income

<u>INCOME ACCOUNT CODE</u>	<u>TOTAL INCOME</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Intrastate Authority Franchise Fees	154,023	154,023	-
Intrastate Authority Applications	145,100	145,100	-
Interstate Stamps/Receipts	3,516,993	3,516,993	-
Safety Relocator Registrations	835,950	835,950	-
Petition to Reinstate License	4,500	4,500	-
Transfers	2,250	2,250	-
Lease Agreement	107,475	107,475	-
Exemption Certificates	5,900	5,900	-
Copying	637	637	-
Gross Revenue Tax-Railroads	397,236	-	397,236
Miscellaneous Fees	8,936	8,936	-
Tariff Auditing/Annual Reports	550	550	-
Relocator's License Fees	34,200	34,200	-
Relocator Tow Record/Invoice	733,238	733,238	-
Operator's License Fees	27,360	27,360	-
Dispatcher License Fees	6,330	6,330	-
Administrative Citations	579,143	579,143	-
Civil Penalties	205,518	205,518	-
Broker's License	1,500	1,500	-
Railroad Route Miles	317,230	-	317,230
Railroad Crossing	277,172	-	277,172
Grade Crossing Protection Fund	3,000,000	-	3,000,000
IL Dept of Transportation Reimbursement	197,552	-	197,552
Warehouse License	32,900	32,900	-
Warehouse Facility License	9,100	9,100	-
TOTAL	10,600,793	6,411,603	4,189,190

The FY 2010 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the amount remitted to the Federal Government from the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (2)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund
FY 2010 Expenditures

<u>LINE ITEM</u>	<u>TOTAL EXPENDITURES</u>	<u>MOTOR CARRIER</u>	<u>RAILROAD</u>
Personal Services	5,245,582	2,498,452	2,747,130
Retirement	1,489,864	709,365	780,499
Social Security	334,286	131,423	202,863
Group Insurance	1,027,279	557,893	469,386
Contractual Services	454,895	273,874	181,021
Travel	67,530	17,477	50,053
Commodities	11,865	6,736	5,129
Printing	12,724	11,244	1,480
Equipment	23,936	359	23,577
Electronic Data Processing	115,751	63,385	52,366
Telecommunications	142,498	101,416	41,082
Operation of Auto	123,875	65,224	58,651
Lump Sum	277,692	-	277,692
Refunds	12,619	12,619	-
 TOTAL	 9,340,396	 4,449,467	 4,890,929

The FY 2010 expenditures from the Transportation Regulatory Fund by the Illinois Commerce Commission were allocated by transportation mode as shown above. These expenditures do not include the amount remitted to the Federal Government from the Unified Carrier Registration Program.

625 ILCS 5/18c
Section 1604
Requirement (3)

ILLINOIS COMMERCE COMMISSION
Transportation Regulatory Fund

The listing below represents all Commerce Commission employees by job titles that were funded from the Transportation Regulatory Fund in FY 2010. This listing also provides a brief description of the major responsibilities of each job title and is sorted in Bureau order.

Bureau of Planning and Operations

Accountant	Posts and processes vouchers
Information Services Specialist II	Performs general and routine professional support for computer equipment and associated software
Information Systems Analyst II	Provides analysis of complex business operations and develops requirements and specifications for the creation of client/server and/or internet based computer systems
Senior Project Consultant	Responsible for agency budget, supervises contract and purchasing function, and serves as property control officer
Senior Financial & Budget Assistant	Performs technical and administrative duties related to monitoring the Grade Crossing Protection Fund as well as supervise and administer the Tax Processing function of the Financial Information Section

Office of Chairman and Commissioners

Chief Internal Auditor	Directs the agency's internal audit program
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Office of the Executive Director

Homeland Security Director	Coordinates the continuous collection and dissemination of information related to the security of Illinois public service infrastructure including utilities
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Transportation Division

Bureau Chief	Manages the Transportation Division
Administrative Assistant I	Provides Administrative support to the Transportation Bureau Chief's Office
Chief Transportation Counsel	Provides broad range of services to the Transportation Division, directs program and staff
Technical Advisor II	Staff attorney, represents Division in court and before the Commission
Chief of Police	Oversees the Commission's police activities including on-the-road enforcement and administrative staff functions
General Services Administrator I	Provides administrative support for statewide Commission enforcement program
Administrative Assistant I (2 positions)	Provides administrative support for Commission enforcement program
Public Services Administrator	Serves as Police Commander for Commission Police District
ICC Police Officer II (10 positions)	Conducts on-the-road enforcement and investigations of intrastate motor carriers
Transportation Investigator (2 positions)	Conducts investigations of relocation towing companies, non-sworn
Transportation Industry Analyst III	Performs staff functions related to the household goods carrier enforcement program including tariffs, audit and dispute resolution
Compliance Specialist	Provides compliance education to the industry and shippers
Manager - Processing and Information	Provides management of the motor carrier registration program
Transportation Industry Customer Service Representative II (2 positions)	Provides services to the public, and the transportation and insurance industries consistent with the clerical and technical tasks related to various laws, rules and regulations involved in the regulation of motor carriers

Transportation Industry Customer Service Representative I (5 positions)	Provides customer service to the motor carrier industry regarding the issuance of licenses, registrations and credentials, filing of leases and the maintenance of liability insurance
Manager - Review and Examination	Directs transportation hearings program
Administrative Assistant I	Provides administrative support to the hearings program
Administrative Law Judge IV	Hears cases for household goods carrier authority, relocation towing licenses and railroad crossing improvement petitions
Manager - Railroad	Directs the Railroad Safety Program
Executive Secretary II	Provides secretarial and administrative support to the Railroad Safety Program
Management Secretary	Provides secretarial and administrative support to Railroad Safety Program
Railroad Safety Specialist IV (6 positions)	Provide staff work related to the design, installation and maintenance of railroad warning and traffic warning signal systems, investigation of crossing collisions and incidents
Railroad Safety Specialist III (8 positions)	Provide staff work on cases involving the improvement of railroad grade crossings and crossing separations, conduct crossing signal and surface inspections and conduct Operation Lifesaver Public Education Program
Railroad Safety Specialist III	Conduct inspections to determine railroad company compliance with operating practice standards
Railroad Safety Specialist III (2 positions)	Conduct inspections of railroad equipment and facilities carrying or handling hazardous materials
Railroad Safety Specialist III (2 positions)	Conduct inspections of mainline track operated by registered rail carriers

625 ILCS 5/18c
Section 1604
Requirement (4)

Allocation of Expenditures
Between the Transportation Regulatory Fund
and Other Funds

Section 18c-1603(2)(b) of the Illinois Commercial Transportation Law, 625 ILCS 5/18c-1603(2)(b), details the requirements for expenses allocated partially or entirely to the Transportation Regulatory Fund.

- (2) Allocation of Expenses to the Fund.
 - (b) Expenses Partially Allocated Entirely to the Transportation Regulatory Fund. A portion of expenses for the following persons and activities may be allocated to the Transportation Regulatory Fund:
 - (i) The Executive Director, his deputies and personal assistants, and their clerical support;
 - (ii) The legislative liaison activities of the Office of Legislative Affairs, its constituent elements and successors;
 - (iii) The activities of the Bureau of Planning and Operations on the effective date of this amendatory Act of the 94th General Assembly, exclusive of the Chief Clerk's office;
 - (iv) The payroll expenses of Commissioners' assistants;
 - (v) The internal auditor;
 - (vi) The in-state travel expenses of the Commissioners to and from the offices of the Commission; and
 - (vii) The Public Affairs Group, its constituent elements, and its successors.
 - (c) Allocation Methodology for Expenses Other Than Commissioners' Assistants. The portion of the total expenses (other than commissioners' assistants' expenses) allocated to the Transportation Regulatory Fund under paragraph (b) of this subsection shall be the portion of staff time spent exclusively on administration and enforcement of this Chapter and Chapter 18a, as shown by a time study updated at least once each 6 months. (For FY 2010 the percentage allocated to the Transportation Regulatory Fund was 30%.)
 - (e) Allocation methodology for Commissioners' Assistants Expenses. Five percent of the payroll expenses of commissioners' assistants may be allocated to the Transportation Regulatory Fund.

Allocation of Expenditures Between Classes
Within the Transportation Regulatory Fund

The Motor Carriers of Property, Rail Carriers, and Other Modes

Actual expenditures were charged to the various modes within the transportation fund when identifiable. Where expenditures were not attributable to a specific mode, allocations were determined and applied to these expenditures.